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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Li et al. #7
SERIAL NUMBER: 09/804,014 EXAMINER: Not Yet Assigned
FILING DATE: March 12, 2001 ART UNIT: 1645
FOR: NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODING SAME

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the application as set forth below and consider the following remarks:

In the Specification:

Please replace the specification as pending with the attached substitute specification in compliance with 37 C.F.R. §1.52. The contents of the originally filed and the substitute specification are the same. The substitute specification contains no new matter.

Please insert the sequence listing pages 1-67 at the end of the specification.

Please replace the paragraph beginning on page 19, line 39 with the following rewritten paragraph:

NOV4: 510 GEEAGMFSHV 519 (SEQ ID NO: 29)

*** + **

HGK5: 441 GEEQSQYMHV 450 (SEQ ID NO: 30)

Where * indicates identity and + indicates similarity.

REMARKS

In response to the June 18, 2001 Notice to File Missing Parts of Nonprovisional Application, Applicants submit herewith a substitute specification with proper margins in compliance with 37 C.F.R. §1.52.

In response to the June 18, 2001 Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,